

# Additional Investment Unit trusts



## 1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from our website: [www.nedgroupinvestments.co.za](http://www.nedgroupinvestments.co.za), your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once:
  - we have received and reviewed your completed and signed form;
  - identified and verified you in terms of FICA; and lastly
  - the money reflects in our bank account.
4. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
  - Email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
  - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

## 2. Investor details

Investor number

### Individuals:

Title and surname

First names

SA ID number

Passport number  
(if foreign national)

Expiry date

  
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Country of issue

### Legal entities:

Registered name

Trading name

Registration number

### 3. Income verification

How are you funding this transaction?

Salary   
  Sale of property   
  Inheritance   
  Company profit   
  Capital / Savings  
 Donation   
  Contributions   
 Other (please specify)

Occupation

### 4. Investment details

**Total investment amount** R   
 (including phase-in if applicable)

#### PHASE-INS

Do you require your investment to be phased in?  Yes  No

If yes, please submit the relevant Phase-in Form.

If you elect to phase-in your investment, the investment amount will be invested into Core Income Fund and phased in monthly (over the selected period) into the designated unit trust portfolio(s). You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested.

#### UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

Unit trust portfolio	Account number	Lump sum amount to be invested (excl phase-in)	Debit order amount to be invested	Only applicable if investing with a Financial Planner	
				Initial FP max 3% (excl VAT)	
				Lump sum	Debit order
				%	%
<b>Total (R)</b>					

#### Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).
- A debit order may not be selected if you have a recurring withdrawal being processed against the same unit trust portfolio(s) selected for the debit order.

#### Initial financial planning fees:

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

## UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

### Please note

- A debit order may not be selected if you have a recurring withdrawal being processed into the same unit trust portfolio(s) selected for the debit order.
- If no income distribution preference is selected your distribution will be reinvested.
- If you select payout as your income distribution option:
  - distribution amounts will be paid electronically into the bank account provided in the 'Investor bank account' details section.
  - distribution amounts will not be paid to third party accounts or by cheque.
  - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.

Unit trust portfolio	Lump sum amount to be invested (excl phase-in)	Debit order amount to be invested	Income distribution		Only applicable if investing with a Financial Planner			
					Initial FP fee max 3% (excl VAT)		Annual FP fee max 1% (excl VAT)	
			Reinvest	Payout	Lump sum	Debit order	Sale of units	
<b>Equity portfolios</b>			✓	OR	✓	%	%	%
Rainmaker								
Value								
Growth								
Private Wealth Equity								
<b>Specialist equity portfolios</b>								
Entrepreneur								
Mining & Resource								
Financials								
<b>Asset allocation portfolios</b>								
Stable								
Opportunity								
Managed								
Balanced								
Bravata Worldwide Flexible								
<b>Income portfolios</b>								
Money Market								
Core Income								
Flexible Income								
Core Bond								
Property								
<b>Core portfolios</b>								
Core Guarded								
Core Diversified								
Core Accelerated								
<b>International portfolios</b>								
Global Property Feeder								
Core Global Feeder								
Global Cautious Feeder								
Global Flexible Feeder								
Global Equity Feeder								
<b>Additional unit trust portfolios</b>								
<b>Total (R)</b>								

## FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

### Initial fees

- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

### Annual fees

- If no fee type has been selected, you will be invested into the sale of unit class, however the annual fee will be set at 0%.
- Annual financial planning fees included in the unit price are set out in the relevant Portfolio Characteristics document.
- If you have nominated an account from which the 'sale of unit' fee is to be recovered, your selection will apply to your additional investment.

## 5. Payment details

### HOW DO YOU WISH TO PAY FOR THIS INVESTMENT?

#### Lump sum:

**Cheque deposit**

Please make cheque deposits to our bank account (details are below) and mark cheques as 'non-transferable'. Cheque deposits are limited to amounts of R500 000 or less.

**Electronic collection of lump sum of R1 million or less by Nedgroup Investments** (once-off debit)

Please complete the 'Investor bank account details' section below (or Addendum Alternate bank account details). Allow at least two business days between the submission of your instruction and the collection date specified below. For amounts larger than R1 million please make payment via EFT.

Total lump sum amount R   
(include any Phase-ins)

Date for electronic collection of lump sum   
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**Internet transfer for lump sum / payment via EFT** (please use your SA ID or entity registration number as reference)

Please make electronic transfers to our bank account. These transfers may take up to two days to appear in our bank account.

### NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

#### Please note

- We do not accept cash deposits.
- We will only process your instruction once we have received proof of payment.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

#### Debit Order:

Total debit order investment amount R

Debit order to commence in the month of   
M M Y Y Y Y

Debit order collection day  1st  15th  27th

Escalation rate per annum  5%  10%  15% Other  %

#### Please note

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Cheque deposits, once-off debits and debit orders take 45 days to clear.

## 6. Recurring withdrawal details

**Please note**

- You may not request a recurring withdrawal from the same unit trust portfolio into which a debit order or phase-in is being processed.
- A recurring withdrawal may attract Capital Gains Tax (CGT).
- Recurring withdrawal payments will be made to the bank account specified under the 'Investor bank account details' section.
- If the market value of the unit trust portfolio selected falls below R2 500, we will pay the entire balance as the final payment.
- Recurring withdrawals will be processed on the start date indicated below and as per your frequency indicated thereafter. The funds may take an additional two days to reflect. Your instruction needs to reach us at least two days before the start date indicated below, alternatively the recurring withdrawal will be processed in the following month.
- If the payment date falls on a non-working day, please note that payment will be made on the next business day.
- You may ask for a once off withdrawal of part or all of your investment as per the Investment Agreement. You will need to submit a completed withdrawal form for us to process this request.

Unit trust portfolio (to fund the recurring withdrawal)	Frequency		Start date (DD/MM/YYYY)	Rand amount
	Monthly	Quarterly		
	✓	OR	✓	
			<b>Total (R)</b>	

## 7. Investor bank account details

It is mandatory to complete this section.

**Please note**

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details.
- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details in the Addendum Alternate bank account details.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch  Branch code

Account type  Current  Savings Country

## 8. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date

D D M M Y Y Y Y

## 9. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact Sheet that relates to the unit trust portfolios I am investing in.
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Instructions will only be accepted from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I need to inform you if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Annual financial planning fees may be recovered via the sale of units from my investment and paid to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory

Date   
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date   
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date   
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date   
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001

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www.nedgroupinvestments.co.za

Directors: I Ruggiero NA Andrew CE Sevenoaks

# Addendum

## Alternate bank account details

Collections will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Below is a list of requirements should a third party bank account be provided:

- If an employer is paying on behalf of an employee, a letter authorising us to debit the account below must be provided.
- If the bank account holder is a third party legal entity; a copy of the resolution of authorised signatories, signed by all signatories together with their identity documents, is required. Please provide the third party legal entity registration number below.
- If the bank account holder is a third party individual, a copy of their identity document is required.

**Third party legal entity registration number**

**Third party individual SA ID number**

### PLEASE SPECIFY THE TRANSACTIONS FOR WHICH THIS ACCOUNT IS TO BE USED

Electronic collection of lump sum investment amount Amount R

Monthly debit order Amount R

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch  Branch code

Account type  Current  Savings Country

Signature of bank account holder  Date          
D D M M Y Y Y Y