Additional Investment funded by a transfer

Tax-free Investment

NEDGROUP INVESTMENTS

1. Receiving product provider

Product provider name	Nedgroup Collective Investments (Pty) Limited		
Registration number	1996/017075/07	SARS reference number	9567186847
Tax free savings account product name	Nedgroup Investments Tax-free Investment		

2. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement and Portfolio Characteristics document which can be obtained from our website: **www.nedgroupinvestments.co.za**, your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
   - Email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
   - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

3. Tax free investments notes

1. Total contributions are limited to R33 000 per tax year (R500 000 over your lifetime) across all tax-free investments, regardless of product provider, including monthly debit orders.
2. Should your contributions exceed R33 000 per tax year, SARS will tax the over contributions at a flat rate of 40%. Please refer to the latest Investment Agreement for further clarity.
3. You can withdraw from your tax-free investment at any time.
4. Withdrawn amounts that are re-invested will be treated as additional contributions and therefore be subject to your annual contribution threshold of R33 000 as well as your lifetime R500 000 threshold.
5. Income distributions will be reinvested.

4. Investor details

Investor number	
Title and surname	
First names	
SA ID / passport number	

Expiry date

D D M M Y Y Y Y

5. Income verification

This information is an anti-money laundering requirement prescribed by the Financial Intelligence Centre Act, 38 of 2001 (FICA). Supporting documentation may be requested.

How are you funding this transaction?

☐ Salary ☐ Sale of property ☐ Inheritance ☐ Other (please specify)

Occupation

6. Transferring product provider details

Product provider name

Registration number SARS Ref number

Product name

Product type

☐ Full transfer ☐ Partial transfer

Contact person at transferring product provider

Contact number + (0)

Email address

7. Investment details

UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

Unit trust portfolio	Account number	Percentage allocation	Only applicable if investing with a Financial Planner Initial FP (excl VAT)
		%	%
	Must equal 100%		

Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).

Initial financial planning fees

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

NEDGROUP INVESTMENTS

UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

Unit trust portfolio	Percentage allocation	Only applicable if investing with a Financial Planner: Initial FP fee (excl VAT)	Only applicable if investing with a Financial Planner: Annual FP fee (excl VAT)
Equity portfolios	%	%	%
Rainmaker			
Value			
Growth			
Private Wealth Equity			
Specialist equity portfolios			
Entrepreneur			
Mining & Resource			
Financials			
Asset allocation portfolios			
Stable			
Opportunity			
Managed			
Balanced			
Bravata Worldwide Flexible			
Income portfolios			
Core Income			
Flexible Income			
Core Bond			
Property			
Core portfolios			
Core Guarded			
Core Diversified			
Core Accelerated			
International portfolios			
Global Property Feeder			
Global Cautious Feeder			
Global Flexible Feeder			
Global Equity Feeder			
Core Global Feeder			
Additional unit trust portfolios			
Must equal 100%			

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Annual fees

- If you have nominated an account from which the 'sale of unit' fee is to be recovered, your selection will apply to your additional investment.

NEDGROUP INVESTMENTS

8. Payment details

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

Please note

- We will only process your investment once we have recived a completed transfer certificate and proof of payment from the transferring product provider.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

9. Investor bank account details

Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank) ____

Name of bank ____

Account number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Name of branch ____ Branch code ____

Account type ☐ Current ☐ Savings Country ____

10. Financial planner details and declaration

Name of financial planning business ____

Name of financial planner ____ Code ____

Contact number + ☐☐ (0) ☐☐☐☐☐☐☐☐☐

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature ____

Date ☐☐☐☐☐☐☐☐
D D M M Y Y Y Y

11. Investor declaration

I hereby request that the abovementioned Tax-Free Savings Account be transferred to the Nedgroup Investments Tax-free Investment.
I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the changes that may be incurred
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Nedgroup Investments may only accept instructions from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I am aware that I need to inform Nedgroup Investments if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct Nedgroup Investments otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Nedgroup Investments may recover annual financial planning fees paid via the sale of units from my investment and pay these fees to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	