Start a debit order
Unit trusts

NEDGROUP INVESTMENTS

1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Factsheet and Portfolio Characteristics document - which can be obtained from our website: **www.nedgroupinvestments.com**, your financial planner or our Client Service Centre.
2. If you are switching into a new unit trust portfolio, you will be invested in the class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number

Individuals:

Title and surname

First names

SA ID number

Passport number (if foreign national)

Expiry date D D M M Y Y Y Y

Country of issue

Legal entities:

Registered name

Trading name

Registration number

3. Income verification

How are you funding this transaction?

☐ Salary ☐ Sale of property ☐ Inheritance ☐ Company profit ☐ Capital / Savings

☐ Donation ☐ Contributions Other (please specify)

Occupation

4. Investment details

START A NEW DEBIT ORDER INTO AN EXISTING UNIT TRUST PORTFOLIO

Unit trust portfolio	Account number	Debit order amount to be invested	Only applicable if investing with a Financial Planner Initial FP fee (excl VAT)
			%
	Total (R)		

Please note:

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).
- A debit order may not be selected if you have a recurring withdrawal being processed against the same unit trust portfolio(s) selected for the debit order.

Financial planning fees:

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

START A NEW DEBIT ORDER INTO A NEW UNIT TRUST PORTFOLIO

Please note

- A debit order may not be selected if you have requested a recurring withdrawal to be processed from the same unit trust portfolio(s) selected for the debit order.
- If no income distribution option is selected, your distribution will be reinvested.
- If you select payout as your income distribution option:
  - distribution amounts will be paid electronically into the bank account provided in the Investor bank account details section.
  - distribution amounts will not be paid to third party accounts or by cheque.
  - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.
- No debit orders will be accepted Into the Nedgroup Investments Core Income Fund unit price class.

Unit trust portfolio	Debit order amount to be invested	Income Distribution		Only applicable if investing with a Financial Planner	
		Reinvest	Payout	Initial FP fee (excl VAT)	Annual FP fee(excl VAT)
Equity portfolios		✓	OR ✓	%	%
Rainmaker					
Value					
Growth					
Private Wealth Equity					
Specialist equity portfolios					
Entrepeneur					
Mining & Resource					
Financials					
Asset allocation portfolios					
Stable					
Opportunity					
Managed					
Balanced					
Bravata Worldwide Flexible					
Income portfolios					
Money Market					
Core Income					
Flexible Income					
Core Bond					
Property					
Core portfolios					
Core Guarded					
Core Diversified					
Core Accelerated					
International portfolios					
Global Property Feeder					
Core Global Feeder					
Global Cautious Feeder					
Global Flexible Feeder					
Global Equity Feeder					
Additional unit trust portfolios					
Total (R)					

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.
- If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your debit order investment.

Debit order:

Total debit order investment amount R ____________ Debit order to commence in the month of M M Y Y Y Y

Debit order collection day ☐ 1st ☐ 15th ☐ 27th

Escalation rate per annum ☐ 5% ☐ 10% ☐ 15% Other ____ %

Please note

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Debit orders take 45 days to clear.

5. Investor bank account details

It is mandatory to complete this section.

Please note

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details.
- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank) ____________

Name of bank ____________

Account number ____________

Name of branch ____________ Branch code ____________

Account type ☐ Current ☐ Savings Country ____________

6. Financial planner details and declaration

Name of financial planning business ____________

Name of financial planner ____________ Code ____________

Contact number + __ __ (0) ____________

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature ____________ Date D D M M Y Y Y Y

7. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact Sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Instructions will only be accepted from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I need to inform you if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Annual financial planning fees may be recovered via the sale of units from my investment and paid to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date D D M M Y Y Y Y

Name

Capacity