

Financial Planning Fee Change



1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document which can be obtained from our website: www.nedgroupinvestments.co.za, your financial planner or our Client Service Centre.
2. The daily cut-off for receipt of instructions is 14:00.
3. Information filled in outside of the relevant fields will not be considered when processing your instruction.
4. Return the completed and signed form to us via:
 - Email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
 - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
5. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number

Individuals:

Title and surname

First names

SA ID number

Passport number
(if foreign national)

Expiry date
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Country of issue

Legal entities:

Registered name

Trading name

Registration number

3. Financial planning fee change

Account group number	Account number	Unit trust portfolio	Only applies to fees on existing debit orders	New annual FP fee max 1% (excl VAT)	Effective month
			New Initial FP fee max 3% (excl VAT)		
			%	%	

FINANCIAL PLANNING FEES

- If a fee higher than the maximum is specified, the maximum will apply.

Initial fees

Initial financial planning fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

Please note

Where the effective month is the current month or not specified:

- Your instruction to change the financial planning fee applicable to your debit order must be submitted at least five days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month.
- Your instruction to change the annual sale of unit financial planning fee must be submitted at least two days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month.

4. Fee account selection (if required)

Would you like sale of unit fee to be recovered from a specific unit trust portfolio? Yes No

If you only have one client account group, please specify which unit trust portfolio you would like your fee(s) to be recovered from:

If you have more than one client account group, please complete the table below:

Client account group	Unit trust portfolio from which fees are to be recovered	Account number

Please note

- This selection will apply to all 'sale of unit' unit trust portfolios within the specified client account group.
- If the funds are depleted in the specified unit trust portfolio, the fees will be recovered from the original unit trust portfolio.
- This instruction will override any previous instruction.
- This change will only be effective at the next fee run.

5. Financial planner details and declaration

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

6. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Nedgroup Investments may only accept instructions from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I am aware that I need to inform Nedgroup Investments if any of my details change.

Investor / Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

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