

# Financial Planning Fee Change



## 1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document which can be obtained from our website: [www.nedgroupinvestments.com](http://www.nedgroupinvestments.com), your financial planner or our Client Service Centre.
2. The daily cut-off for receipt of instructions is 14:00.
3. Information filled in outside of the relevant fields will not be considered when processing your instruction.
4. Return the completed and signed form to us via email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
5. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

## 2. Investor details

Investor number

### Individuals:

Title and surname

First names

SA ID number

Passport number  
(if foreign national)

Expiry date   
D D M M Y Y Y Y

Country of issue

### Legal entities:

Registered name

Registration number

### 3. Financial planning fee change

Account group number	Account number	Unit trust portfolio	Only applies to fees on existing debit orders	New annual FP fee max 1% (excl VAT) Sale of units	Effective month
			New Initial FP fee max 3% (excl VAT)		
			%	%	

#### FINANCIAL PLANNING FEES

- If a fee higher than the maximum is specified, the maximum will apply.

##### Initial fees

Initial financial planning fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

##### Please note

Where the effective month is the current month or not specified:

- Your instruction to change the financial planning fee applicable to your debit order must be submitted at least five days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month.
- Your instruction to change the annual sale of unit financial planning fee must be submitted at least two days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month.

#### 4. Fee account selection (if required)

Would you like sale of unit fee to be recovered from a specific unit trust portfolio?  Yes  No

If you only have one client account group, please specify which unit trust portfolio you would like your fee(s) to be recovered from:

If you have more than one client account group, please complete the table below:

Client account group	Unit trust portfolio from which fees are to be recovered	Account number

**Please note**

- This selection will apply to all 'sale of unit' unit trust portfolios within the specified client account group.
- If the funds are depleted in the specified unit trust portfolio, the fees will be recovered from the original unit trust portfolio.
- This instruction will override any previous instruction.
- This change will only be effective at the next fee run.

#### 5. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date   
D D M M Y Y Y Y

## 6. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)  
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Precinct V&A Waterfront Cape Town 8001  
PO Box 1510 Cape Town 8000 South Africa  
[www.nedgroupinvestments.com](http://www.nedgroupinvestments.com)

Directors: I Ruggiero NA Andrew CE Sevenoaks