

Initial Investment

Unit trusts for legal entities

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from our website: www.nedgroupinvestments.co.za, your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator also available from our website.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once:
 - we have received and reviewed your completed and signed form;
 - identified and verified you in terms of FICA; and lastly
 - the money reflects in our bank account.
4. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
 - Email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
 - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

ENTITY DETAILS

Please refer to Addendum FICA document requirements to select correct entity type.

- Entity type
- | | | | |
|--|---|--|--|
| <input type="checkbox"/> Listed company | <input type="checkbox"/> Unlisted company | <input type="checkbox"/> Partnership | <input type="checkbox"/> Close corporation |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Medical scheme | <input type="checkbox"/> Retirement fund | <input type="checkbox"/> Long term insurer |
| <input type="checkbox"/> Investment scheme manager | <input type="checkbox"/> Foreign company | <input type="checkbox"/> Foreign trust | |

Other (please specify)

If Investment scheme manager, in terms of Exchange Control, are you categorised as Retail Institutional

Registered name

Trading name local

Trading name foreign

Registration number

Country of organisation or incorporation

Date of incorporation
D D M M Y Y Y Y

CONTACT DETAILS

Registered address

Code

Country

Postal address
(if different)

Code

Country

Physical business
address

Code

Country

For trusts, please complete:

Address of Master
of the High Court

Clause number of the trust deed determining beneficiaries

3. Income verification

This information is an anti-money laundering requirement prescribed by the Financial Intelligence Centre Act, 38 of 2001 (FICA). Supporting documentation may be requested.

What is your source of income? Company profit Investment Donation Contributions

Other (please specify)

How are you funding this transaction?

Company profit

Capital savings

Donation

Other (please specify)

4. Tax residency

The Tax Administration Act 28 of 2011 (adopting aspects of the U.S.A. Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information) requires us to collect certain information about investors and its Controlling Persons tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's investment, with SARS who may in turn share this information with other relevant tax authorities. If any of the information below change in the future, please advise of these changes promptly.

It is mandatory to classify yourself in this section, for guidance please refer to the **Addendum: Legal entities tax residency classification (FATCA and CRS)** available at www.nedgroupinvestments.com, and speak to your tax adviser.

Are you resident for tax purposes in South Africa?

Yes No

If yes, please indicate your tax number

Are you VAT registered in South Africa

Yes No

If yes, please indicate your VAT number

Are you exempt from dividend withholding tax?

Yes No

If yes, please complete the Addendum: Declaration for exemption from dividend withholding tax.

Are you tax resident in any other country?

Yes No

If yes, please complete following for each country of tax residency

| Country of tax residency | Tax identification number (TIN) | OR Not applicable |
|--------------------------|--|--------------------------|
| 1 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="checkbox"/> |
| 2 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="checkbox"/> |
| 3 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="checkbox"/> |
| 4 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="checkbox"/> |
| 5 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="checkbox"/> |

Please note

- By ticking not applicable you confirm that the country specified does not issue a tax identification number.

CLASSIFICATION UNDER FATCA AND CRS

Please indicate if you are a

Financial Institution **OR** a Non Financial Institution

If you are a Financial Institution

| FATCA Classification In this section the word "foreign" includes South African legal entities. | (please tick one) |
|--|--------------------------|
| South African Financial Institution or a Partner Jurisdiction Financial Institution | <input type="checkbox"/> |
| Participating Foreign Financial Institution (in a Non-IGA jurisdiction) | <input type="checkbox"/> |
| Non-Participating Foreign Financial Institution | <input type="checkbox"/> |
| Financial Institution resident in the USA or in a US Territory | <input type="checkbox"/> |
| Exempt Beneficial Owner (this includes a South African registered retirement scheme, a South African Governmental Organisation or an International Organisation) | <input type="checkbox"/> |
| Deemed Compliant Foreign Financial Institution (this includes Non-Profit Organisations and Financial Institutions with a Local Client Base). | <input type="checkbox"/> |

| CRS Classification | (please tick one) |
|--|--------------------------|
| Financial Institution under CRS (this includes all Non-Reporting Financial Institutions for example a pension scheme, government entity and international organisation.) | <input type="checkbox"/> |
| A non-participating professionally managed Investment Entity (this does not include a South African Financial Institution). <i>If this box is ticked, please also complete the Addendum Associated / Controlling Persons (natural persons only) in respect of any Controlling Persons.</i> | <input type="checkbox"/> |

If you are a Non Financial Institution

| FATCA Classification In this section the word "foreign" includes South African legal entities. | (please tick one) |
|--|--------------------------|
| Active Non-Financial Foreign Entity | <input type="checkbox"/> |
| Passive Non-Financial Foreign Entity <i>(If this box is ticked, please also complete the Addendum Associated / Controlling Persons (natural persons only) in respect of any Controlling Persons).</i> | <input type="checkbox"/> |
| Non-Financial Entity that is a 'US Person' - please tick one of the following boxes: US Reportable Person <input type="checkbox"/> Not a US Reportable Person <input type="checkbox"/> | <input type="checkbox"/> |

| CRS Classification | (please tick one) |
|---|--------------------------|
| A corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. | <input type="checkbox"/> |
| A Government Entity, a Central Bank or an International Organisation. | <input type="checkbox"/> |
| Active Non-Financial Entity. | <input type="checkbox"/> |
| Passive Non-Financial Entity. <i>(If this box is ticked, please also complete the Addendum Associated / Controlling Persons (natural persons only) in respect of any Controlling Persons).</i> | <input type="checkbox"/> |

5. Preferred correspondence

We will send you all correspondence (e.g. statements, fund updates, ballot letters pertaining to your investment) via email. If you prefer post or do not have an email address, please tick this box:

You may choose who receives your quarterly investment statement:

You / the person acting on your behalf Your financial planner Both

On behalf of the legal entity, the authorised contact person will also receive our quarterly Insights if an email address has been provided. If you do not wish to receive this please tick this box:

ONLINE ACCESS TO YOUR INVESTMENT

Information regarding your investment may also be accessed from our secure site. Once you have received your investor number, you may register on the Nedgroup Investments website. To access the site go to www.nedgroupinvestments.co.za and click on 'Register/Login' in the top right hand corner of the landing page.

Please note

- We will need to have a valid cell phone number and email address on record in order for you to register successfully.

6. Investment details

Total lump sum amount

(including phase-in, if applicable) R

PHASE-INS

Do you require your investment to be phased in? Yes No

If yes, please submit the relevant Phase-in Form.

If you elect to phase-in your investment, the investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly over the selected period into the designated unit trust portfolio(s). You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested.

UNIT TRUST PORTFOLIO SELECTION

Please note:

- A debit order may not be selected if you have requested a recurring withdrawal to be processed from the same unit trust portfolio(s) selected for the debit order.
- If no income distribution preference is selected, your distribution will be reinvested.
- If you select payout as your income distribution option:
 - distribution amounts will be paid electronically into the bank account provided in the 'Investor bank account details' section.
 - distribution amounts will not be paid to third party accounts or by cheque.
 - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.

| Unit trust portfolio | Lump sum amount to be invested excluding amounts to be phased-in | Debit order amount to be invested | Income distribution | | Only applicable if investing with a Financial Planner | | | |
|---|--|-----------------------------------|---------------------|--------|---|-------------|---------------------------------|---|
| | | | Reinvest | Payout | Initial FP fee max 3% (excl VAT) | | Annual FP fee max 1% (excl VAT) | |
| | | | | | Lump sum | Debit order | Sale of units | |
| Equity portfolios | | | ✓ | OR | ✓ | % | % | % |
| Rainmaker | | | | | | | | |
| Value | | | | | | | | |
| Growth | | | | | | | | |
| Private Wealth Equity | | | | | | | | |
| Specialist equity portfolios | | | | | | | | |
| Entrepreneur | | | | | | | | |
| Mining & Resource | | | | | | | | |
| Financials | | | | | | | | |
| Asset allocation portfolios | | | | | | | | |
| Stable | | | | | | | | |
| Opportunity | | | | | | | | |
| Managed | | | | | | | | |
| Balanced | | | | | | | | |
| Bravata Worldwide Flexible | | | | | | | | |
| Income portfolios | | | | | | | | |
| Money Market | | | | | | | | |
| Core Income | | | | | | | | |
| Flexible Income | | | | | | | | |
| Core Bond | | | | | | | | |
| Property | | | | | | | | |
| Core portfolios | | | | | | | | |
| Core Guarded | | | | | | | | |
| Core Diversified | | | | | | | | |
| Core Accelerated | | | | | | | | |
| International portfolios | | | | | | | | |
| Global Property Feeder | | | | | | | | |
| Core Global Feeder | | | | | | | | |
| Global Cautious Feeder | | | | | | | | |
| Global Flexible Feeder | | | | | | | | |
| Global Equity Feeder | | | | | | | | |
| Additional unit trust portfolios | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Total (R) | | | | | | | | |

FEE ACCOUNT SELECTION (IF REQUIRED)

Indicate the unit trust portfolio for which the sale of the unit fee is to be recovered.

Please note

- This selection will apply to all sale of unit trust portfolios.
- If the funds are depleted in that unit trust portfolio, the fees will be recovered from the original unit trust portfolio.

FINANCIAL PLANNING FEES

- If no fee is completed, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Initial fees

- Initial financial planning fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

Annual fees

- If no fee type has been selected, you will be invested into the sale of unit class, however the annual fee will be set at 0%.
- Annual financial planning fees included in the unit price are set out in the relevant Portfolio Characteristics document.

7. Payment details

HOW DO YOU WISH TO PAY FOR THIS INVESTMENT?

Lump sum:

Cheque deposit

Please make cheque deposits to our bank account (details are below) and mark cheques as 'non-transferable'. Cheque deposits are limited to amounts of R500 000 or less.

Electronic collection of lump sum of R1 million or less by Nedgroup Investments (once-off debit)

Please complete the 'Investor bank account details' section below (or Addendum Alternate bank account details). Allow at least two business days between the submission of your instruction and the collection date specified below. For amounts larger than R1 million please make payment via EFT.

Total lump sum amount R
(include any Phase-ins)

Date for electronic collection of lump sum
D D M M Y Y Y Y

Internet transfer for lump sum / payment via EFT (please use your SA ID or entity registration number as reference)

Please make electronic transfers to our bank account. These transfers may take up to two days to appear in our bank account.

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

| | | | |
|---------------|--|-----------------|------------|
| Bank: | Nedbank | Branch code: | 198765 |
| Branch: | BS Corporate | Account Number: | 1452027900 |
| Account name: | Nedgroup Collective Investments Inflow Account | | |

Please note

- We do not accept cash deposits.
- We will only process your instruction once we have received proof of payment.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

Debit Order:

Total debit order investment amount R

Debit order to commence in the month of
M M Y Y Y Y

Debit order collection day 1st 15th 27th

Escalation rate per annum 5% 10% 15% Other %

Please note

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Cheque deposits, once-off debits and debit orders take 45 days to clear.

8. Investor bank account details

It is mandatory to complete this section.

Please note

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details.
- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details in the Addendum Alternate bank account details.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch Branch code

Account type Current Savings Country

9. Recurring withdrawal details

Please note

- You may not request a recurring withdrawal from the same unit trust portfolio into which a debit order or phase-in is being processed.
- A recurring withdrawal may attract Capital Gains Tax (CGT).
- Recurring withdrawal payments will be made to the bank account specified under the 'Investor bank account details' section.
- If the market value of the unit trust portfolio selected falls below R2 500, we will pay the entire balance as the final payment.
- Recurring withdrawals will be processed on the start date indicated below and as per your frequency indicated thereafter. The funds may take an additional two days to reflect. Your instruction needs to reach us at least two days before the start date indicated below, alternatively the recurring withdrawal will be processed in the following month.
- If the payment date falls on a non-working day, please note that payment will be made on the next business day.
- You may ask for a once off withdrawal of part or all of your investment as per the Investment Agreement. You will need to submit a completed withdrawal form for us to process this request.

| Unit trust portfolio (to fund the recurring withdrawal) | Frequency | | Start date (DD/MM/YYYY) | Rand amount |
|--|-----------|-----------|----------------------------|-------------|
| | Monthly | Quarterly | | |
| | ✓ | OR | | |
| | | | | |
| | | | | |
| | | | | |
| | | | Total (R) | |

10. Financial planner details and declarations

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have used the Effective Annual Cost calculator and explained all fees and charges that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

I confirm that:

- I am the primary accountable institution in terms of the Financial Intelligence Centre Act, 38 of 2001 (FICA).
- I have identified all relevant parties to this investment, verified their details and I am keeping their records as required by FICA.
- I will continue to maintain the correctness of these records as required by FICA for the duration of this business relationship.
- If I do not sign below, I understand that Nedgroup Investments will be responsible for identifying and verifying the details of all relevant parties in terms of FICA and that this instruction will be delayed until Nedgroup Investments has received all relevant documents in the document checklist on the cover page of this form

Financial planner signature

Date
D D M M Y Y Y Y

11. Complete only if the investor is a Nedbank employee or direct family member of a Nedbank employee

Nedbank employee number

Please specify the investor's relationship to Nedbank employee

12. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this form, whether in my hand writing or not, is true and correct.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement.
- I have read and understood the Portfolio Characteristics document and the Fund Fact Sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I understand that I need to inform Nedgroup Investments if any of my details change.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application.
- The information contained in this form and my information may be reported to the South African tax authorities and exchanged with tax authorities of another country or countries where I may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct Nedgroup Investments otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Nedgroup Investments may recover annual financial planning fees paid via the sale of units from my investment and pay these fees to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

If more than one signature is required to authorise this investment, please provide additional authorised signatories and indicate whether we may accept any one, any two or all as authority for all future transactions. If you do not select an option, we will assume that all signatories appearing on this form are required to sign all future instructions.

Any one Any two All

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001
PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.co.za

Directors: I Ruggiero NA Andrew CE Sevenoaks

Checklist

INVESTOR

- We will only process your instruction once we have received proof of payment (except where once-off debit or monthly debit order has been requested)
- Completed Addendum Identity of associated persons for each associated person of the legal entity
- If the investor is a non-tax paying legal entity, a certificate from SARS

Please note:

Where your financial planner (if applicable) agrees to identify and verify the identity of all relevant parties and keep their records, as required by the Financial Intelligence Centre Act, 38 of 2001 (FICA), by signing the FICA declaration in this form then the FICA documents in respect of the investor and all associated persons are not required.

For examples of documents that can be used as proof of residential address please refer to our FICA Requirement Document which can be found on our website.

Addendum

Alternate bank account details

Collections will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Below is a list of requirements should a third party bank account be provided:

- If an employer is paying on behalf of an employee, a letter authorising us to debit the account below must be provided.
- If the bank account holder is a third party legal entity; a copy of the resolution of authorised signatories, signed by all signatories together with their identity documents, is required. Please provide the third party legal entity registration number below.
- If the bank account holder is a third party individual, a copy of their identity document is required.

Third party legal entity registration number

Third party individual SA ID number

PLEASE SPECIFY THE TRANSACTIONS FOR WHICH THIS ACCOUNT IS TO BE USED

Electronic collection of lump sum investment amount Amount R

Monthly debit order Amount R

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch Branch code

Account type Current Savings Country

Signature of bank account holder Date
D D M M Y Y Y Y

Addendum

Associated / Controlling Persons (natural persons only)

The Financial Intelligence Centre Act 38 of 2001 (FICA), requires us to identify investors and their associated persons and to confirm their identities before accepting an application. We do this to prevent identity theft or fraud and prevent money laundering and terrorist financing.

The Tax Administration Act 28 of 2011 requires us to collect certain information about certain investor's and its Controlling Persons tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's investment, with relevant tax authorities

If the investor is an approved pension, provident or retirement annuity fund and the supporting documentation listed on the FICA Addendum is provided, completion of this Addendum is not required.

The following persons are considered to be associated with and/or Controlling Persons of the investor, as indicated.

1. Company:

- Managing Director
- Any natural person holding 25% or more interest in such company
- Any other natural person/company of cial exercising control over such Company

2. Closed corporation:

- Each and every member
- Any other natural person exercising control over such Closed Corporation

3. Partnership:

- Each and every partner
- Each and every other person exercising control over the Partnership

4. Trust:

- Each and every trustee
- Each and every beneficiary
- The settlor
- The protector(s) (if any)
- Any other natural person exercising control over such Trust

1. Personal details

Complete this section for each associated and/or Controlling Person.

| | | | | | | | | | | | | |
|---|--------------------------|----------------------|--------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Relationship to investor | <input type="text"/> | | | | | | | | | | | |
| Should you be linked as a primary contact person? | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No | | | | | | | | |
| Title and surname | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | | | | | | | |
| First names | <input type="text"/> | | | | | | | | | | | |
| Date of birth | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | D | D | M | M | Y | Y | Y | Y | | | | |
| SA ID number | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Passport number (if foreign national) | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Expiry date | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | D | D | M | M | Y | Y | Y | Y | Country of issue | <input type="text"/> | | |
| Nationality | <input type="text"/> | | | | | | | | Country of birth | <input type="text"/> | | |

CONTACT DETAILS

Cell + (0)

Email address

Alternate telephone + (0)

Residential address or registered address

Postal address (if different)

Code Country

Code Country

Please confirm which correspondence you would like to receive:

Transaction confirmations Statements

Would you like access to the investment details on the secure site? Yes No

Please note:

- Correspondence will only be sent electronically.
- A South African ID number, email address and cell number are required to register on the secure site.

2. Tax residency

Complete this section for each Controlling Person only if the investor is

- (i) a Passive Non-Financial Foreign Entity (FATCA classification),
- (ii) a Passive Non-Financial Entity (CRS classification) or
- (iii) a non-participating professionally managed Investment Entity (this does not include a South African Financial Institution; CRS classification).

For guidance please refer to the **Addendum: Legal entities tax residency classification (FATCA and CRS)** available at www.nedgroupinvestments.com, and speak to your tax adviser.

| Country of tax residency | Tax identification number (TIN) | OR | Not applicable |
|--------------------------|--|----|--------------------------|
| 1 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | <input type="checkbox"/> |
| 2 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | <input type="checkbox"/> |
| 3 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | <input type="checkbox"/> |
| 4 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | <input type="checkbox"/> |
| 5 <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | <input type="checkbox"/> |

Please note:

- By ticking not applicable you confirm that the country specified does not issue a tax identification number.
- If you are a USA citizen you are resident for tax purposes in the USA.

I undertake to advise Nedgroup Investments promptly and provide an updated self-certification form where any change in circumstances occur which causes any of the information contained in this addendum to be incorrect.

Addendum

Associated legal entities

The Financial Intelligence Centre Act 38 of 2001 (FICA), requires us to identify investors and their associated persons and to confirm their identities before accepting an application. We do this to prevent identity theft or fraud and prevent money laundering and terrorist financing.

If the investor is an approved South African pension, provident or retirement annuity fund and the supporting documentation listed on the FICA Addendum is provided, completion of this Addendum is not required. If any of the information below change in the future, please advise of these changes promptly.

The following legal entities are considered to be associated with the investor, as indicated.

1. Company:

- Any legal entity holding 25% or more interest in such company

2. Partnership:

- Each and every corporate partner
- Each and every other legal entity exercising control over the Partnership

3. Trust:

- Each and every corporate trustee
- Each and every corporate beneficiary
- The settlor
- The protector(s) (if any)
- Any other legal entity exercising control over such Trust

1. Legal entity details

Please complete this section for each associated legal entity.

| | | | |
|-----------------------------------|------------------------------|-------------------------------|------------------------------|
| Relationship to investor | <input type="text"/> | | |
| Registered name | <input type="text"/> | | |
| Trading name local | <input type="text"/> | | |
| Trading name foreign | <input type="text"/> | | |
| Registration number if applicable | <input type="text"/> | | |
| Registered address | <input type="text"/> | Postal address (if different) | <input type="text"/> |
| | <input type="text"/> | | <input type="text"/> |
| | <input type="text"/> | | <input type="text"/> |
| | <input type="text"/> | | <input type="text"/> |
| | Code <input type="text"/> | | Code <input type="text"/> |
| | Country <input type="text"/> | | Country <input type="text"/> |
| Physical business address | <input type="text"/> | | |
| | <input type="text"/> | | |
| Country of incorporation | <input type="text"/> | Code | <input type="text"/> |

I undertake to advise the Nedgroup Investments promptly and provide an updated self-certification form where any change in circumstances occurs which causes any of the information contained in this addendum to be incorrect.

Addendum

Contact person(s)

Please complete the following section for each contact person linked to this investment indicating what type of correspondence they should have access to.

Please note

- Contact persons have no authority to act on behalf of the investor.
- Correspondence will only be sent electronically.
- A SA identity number, email address and cell number are required to register you on the secure site.
- You may only elect one primary contact person.
- Please provide a copy of SA ID for each contact person.

CONTACT PERSON 1

Should you be linked as the primary contact person? Yes No

What is your relationship to the investor?

Title and surname

First names

Date of birth
D D M M Y Y Y Y

SA ID number

Passport number (if foreign national)

Cell + (0)

Alternate telephone + (0)

Email address

Please confirm which correspondence you would like to receive:

- Transaction confirmations Statements Access to investment details via our secure site

CONTACT PERSON 2

Should you be linked as the primary contact person? Yes No

What is your relationship to the investor?

Title and surname

First names

Date of birth
D D M M Y Y Y Y

SA ID number

Passport number (if foreign national)

Cell + (0)

Alternate telephone + (0)

Email address

Please confirm which correspondence you would like to receive:

- Transaction confirmations Statements Access to investment details via our secure site

Addendum

Declaration for exemption from Dividend Withholding Tax

1. What you need to know

1. Complete this declaration if you are exempt from Dividend Withholding Tax.
2. This declaration only applies to South African residents.
3. Please return the completed declaration to Nedgroup Investments via:
 - Email to nedgroupinvestments@silica.net; or
 - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).

2. Withholding agent

Registered name: **Nedgroup Investments**

Dividends tax reference number

3. Authorised contact person for legal entity

PERSONAL DETAILS

Title and surname

First names

SA ID number

Passport number
(if foreign national)

CONTACT DETAILS

Cell + (0)

Email address

Telephone + (0)

Is access to our secure site to view investments online required? Yes No

If yes has been selected and an email address has been provided the authorised contact person will be registered for access to our secure site.

Please select how you would like to receive correspondence from us: Email Post

Please note

- A copy of your identity document is required.
- Cell number and email address fields must be completed.

4. Exemption

Please indicate the reason for the exemption by ticking the relevant block.

- A company which is a resident
- The Government, a provincial administration or a municipality
- A public benefit organisation approved by the Commissioner in terms of section 30(3)
- A trust contemplated in section 37A (i.e. a rehabilitation Trust)
- An institution, board or body contemplated in section 10(1)(cA) (i.e. water board, tribal authority)
- A fund contemplated in section 10(1)(d)(i) or (ii) (i.e. Pension/Provident/RA/Benefit Fund)
- A person contemplated in section 10(1)(t) (i.e. CSIR, SANRAL, ARMSCO, Development Bank of SA)

5. Declaration

In terms of sections 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act I, the undersigned, hereby declare that dividends paid to the beneficial owner is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Signature (duly authorised)

Date

| | | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| D | D | M | M | Y | Y | Y | Y |

Capacity

Understanding in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act I, the undersigned, undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (duly authorised)

Date

| | | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| D | D | M | M | Y | Y | Y | Y |

Capacity