

Notice of Security Cession

Unit trusts

1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement - which can be obtained from your financial planner or our Client Service Centre.
2. We will process your instruction once we have received a completed and signed form.
3. The daily cut-off for receipt of instructions is 14:00.
4. Information filled in outside of the relevant fields will not be considered when processing your instruction.
5. Return the completed and signed form with the relevant supporting documents to us via:
 - Email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
 - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
6. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Cedent details

Investor number

Individual

Title and surname

First names

SA ID number

Passport number
(if foreign national)

Legal entities

Registered name

Trading name

Registration number

3. Cessionary details

Individuals

Title and surname

First names

SA ID number

Passport number (if foreign national)

Legal entities

Registered name

Trading name

Registration number

CONTACT DETAILS

Cell + (0)

Email address

Alternate telephone + (0)

Residential address

Postal address (if different)

Code

Code

4. Investment to which cession applies

The parties to this cession request Nedgroup Investments to note a security cession of the investment(s) detailed below:

Unit trust portfolio	Account number	Number of units on which cession is to be noted

5. Investor declaration

I / we acknowledge that:

- This form serves as a request for Nedgroup Investments to note a cession on the investment account/s listed in Section 4.
- In the event that the cessionary requests that the ceded units be withdrawn, Nedgroup Investments is obliged to sell the ceded units and pay the cessionary. Nedgroup Investments is not required to notify the investor or to get the consent of the investor.
- Nedgroup Investments can not guarantee the value of the units, which may fluctuate from time to time.

Investor / Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001

PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.co.za

Directors: I Ruggiero NA Andrew CE Sevenoaks