

# Switch

## Tax free investment



### 1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Factsheet and Portfolio Characteristics document - which can be obtained from our website: [www.nedgroupinvestments.co.za](http://www.nedgroupinvestments.co.za), your financial planner or our Client Service Centre.
2. If you are switching into a new unit trust portfolio, you will be invested in the class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via:
  - Email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing); or
  - Fax to **0861 119 733** (from within RSA) or to **+27 11 263 6067** (from outside RSA).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

### 2. Investor details

Investor number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Title and surname	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
First names	<input type="text"/>
SA ID / passport number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Expiry date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> D D M M Y Y Y Y

### 3. Switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

#### UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction				
				Continue	Cancel	Switch		
		%	Rand amount	✓	OR	✓	OR	✓

**Please note**

- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed
- If you do not indicate how we should administer existing debit orders they will continue.

#### UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio				Only applicable if investing with a financial planner
Unit trust portfolio	Account number	Switch in details		Initial FP fee max 3% (excl VAT)
		%	Rand amount	
<b>Total</b>		%	R	

**Please note**

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

#### New unit trust portfolio

**Please note**

- All income distributions will be reinvested.

Unit trust portfolio	Switch in details		Only applicable if investing with a financial planner	
			Initial FP fee max 3% (excl VAT)	Annual FP fee max 1%(excl VAT) Sale of units
	%	Rand Amount	%	%
<b>Total</b>		%	R	

#### FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

## 4. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date   
D D M M Y Y Y Y

## 5. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I understand that investments will only be processed on receipt of monies, proof of deposit and all required documentation.
- I have read and understood the Portfolio Characteristics document and the Fund Fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the changes that may be incurred
- I have read and understand the contents including the terms and conditions of this form.
- All of the information, instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Nedgroup Investments may only accept instructions from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I am aware that I need to inform Nedgroup Investments if any of my details change.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct Nedgroup Investments otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Nedgroup Investments may recover annual financial planning fees paid via the sale of units from my investment and pay these fees to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001

PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.co.za

Directors: I Ruggiero NA Andrew CE Sevenoaks

# Addendum

## Additional switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

### UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction				
				Continue	Cancel	Switch		
		%	Rand amount	✓	OR	✓	OR	✓

**Please note**

- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.
- If you do not indicate how we should administer existing debit orders they will continue.

### UNIT TRUST PORTFOLIO(S) SWITCHING INTO

#### Existing unit trust portfolio

Unit trust portfolio	Account number	Switch in details		Only applicable if investing with a financial planner
				Initial FP fee max 3% (excl VAT)
		%	Rand amount	
<b>Total</b>		%	R	

**Please note**

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

#### New unit trust portfolio

**Please note**

- All income distributions will be reinvested.

Unit trust portfolio	Switch in details		Only applicable if investing with a financial planner	
			Initial FP fee max 3% (excl VAT)	Annual FP fee max 1%(excl VAT)
				Sale of units
	%	Rand amount	%	%
<b>Total</b>		%	R	

### FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

**Annual fees**

- If you have nominated an account from which the 'sale of unit' is to be recovered, your selection will apply to the switch.

